



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No. SURR.86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: NATAN

SERIAL NO.: 10/056,808

FILED: JANUARY 25, 2002

TITLE: SURFACE-ENHANCED
SPECTROSCOPY-ACTIVE
SANDWICH NANOPARTICLES

EXAMINER:

ART UNIT: 1743

CONFIRMATION NO.: 9379

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Box Missing Parts

Dear Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

1. The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application," mailed March 15, 2002. A copy of the Notice is enclosed. In reply to the Notice, the applicant submits:

- (a) the basic filing fee of \$370.00
- (b) surcharge fee of \$65.00;
- (c) additional claims fee of \$114.00;
(28-20 = 8 X \$9.00 = \$72.00;
4-3 = 1 X 42.00 = \$42.00;
\$72.00 + \$42.00 = \$114.00)
- (d) assignment recordation fee of \$40.00;
- (e) a combined declaration and power of attorney signed by the inventor; and
- (f) assignment and assignment recordation form cover sheet.

2. Enclosed is a check for \$589.00. The check covers the filing fee (\$370.00), the surcharge (\$65.00), the additional claims fee (\$114.00), and the fee for recording the assignment (\$40.00). The foregoing fees are calculated as a small entity. Applicant claims small entity status. See 37 CFR 1.27.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on May 15, 2002

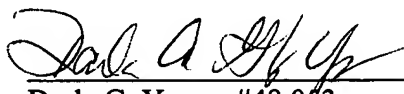
Signature: Tracy E. Crispino

Name: Tracy E. Crispino

The undersigned hereby authorizes the charge of any required fees not included or any deficiency of fees submitted herewith to be charged to deposit account No. 19-5117.

Respectfully submitted,

Date: May 15, 2002



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,808	01/25/2002	Michael J. Natan	SURR86

25871
SWANSON & BRATSCHUN L.L.C.
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CONFIRMATION NO. 9379

FORMALITIES LETTER



OC000000007649698

Date Mailed: 03/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$114.
 - \$72 for 8 total claims over 20.
 - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 549.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

15/06/2002 JBALINAN 00000009 10056808
370.00 BP
42.00 BP
72.00 BP
65.00 BP
1 FC:201
2 FC:202
3 FC:203
4 FC:205